

EARLY BIRD DISCOUNT
Register by **September 29, 2016**



2016 | Illinois CPA Society

October 20, 2016 | Chicago, IL

Fraud & Forensic Symposium

Examining the latest issues affecting the accounting profession today.



ILLINOIS CPA SOCIETY®
www.icpas.org

- Trending cyber threats and data breach crimes
- Conference session and **FREE** webinar on asset tracing
- Emerging issues in anti-money laundering

Fraud & Forensic Symposium

Why Should You Attend this Symposium?

As the complexity of fraud continues to increase, forensic accountants are challenged to refine their skills and learn new detection and investigation techniques to assist their clients. This year's Fraud and Forensic Symposium provides practitioners with insights into the key areas that keep their clients awake at night. Benefit from hearing about the latest developments in cyber security, anti-money laundering (AML), asset tracing and much more. This year's Symposium will also address ethical concerns that professionals in the industry face.

Agenda at a Glance

7:30 am - 8:15 am	Registration and Continental Breakfast
8:15 am - 8:30 am	Welcome Address and Overview of the Day
8:30 am - 9:20 am	Ransomware and the New Normal of Online Communications
9:20 am - 10:10 am	Balancing Confidentiality and Integrity Risk
10:10 am - 10:25 am	Networking Break
10:25 am - 11:40 am	Asset Tracing for Asset Forfeiture
11:40 am - 12:30 pm	Networking Luncheon
12:30 pm - 1:45 pm	From Retention to Trial: Tips and Traps for the Expert Witness
1:50 pm - 2:40 pm	Illinois Retirement Benefit Plans: Promises, Problems, and Possibilities
2:40 pm - 2:50 pm	Networking Break
2:50 pm - 4:30 pm	Emerging Issues in Anti-Money Laundering

Top Reasons to Attend:

- 1** Develop a blueprint for responding to ethical dilemmas faced in forensic accounting
- 2** Gain insights about current issues surrounding anti-money laundering
- 3** Increase your understanding of common pitfalls that affect expert witnesses
- 4** Attendees have access to a FREE post-conference webinar on asset tracing for one additional CPE credit

Symposium Details:

Chicago

Date: October 20, 2016 | **Course Code:** C42319

Location: Illinois CPA Society, 550 W. Jackson Blvd., Suite 900, Chicago, IL

Time: 7:30 am registration; 8:15 am - 4:30 pm program | 8 CPE Hours

Early Bird Registration: \$330 members | \$415 non-members

Regular Symposium Registration: \$355 members | \$440 non-members

Credits: Earn 8 CPE including 1.5 Auditing, 1 Auditing (Government/Yellow Book), 1.5 Specialized Knowledge & Applications, 1 Computer Science, 2 Finance, 1 Behavioral Ethics and 6.5 CLE

How to Register:

Online:

To register online, visit us at the Illinois CPA Society's website:

www.icpas.org

Phone:

Call us from 8:00 am to 5:00 pm, Monday through Friday at:

800.993.0407 then dial 4

100% Satisfaction Guarantee

We strive to deliver the highest quality educational experience. If you are not satisfied, call us at 800.993.0407, option 4 and we will make it right.



Program materials will be placed on our website to allow attendees to download or print in advance. Attendees will receive an email with a link to access these materials prior to the symposium date.

All program information is subject to change. Please verify upon registration.

7:30 am – 8:15 am REGISTRATION & CONTINENTAL BREAKFAST

8:15 am – 8:30 am WELCOME ADDRESS & OVERVIEW OF THE DAY

Symposium Chair: Frank Dery, CPA, CFE, CFF, Director, PricewaterhouseCoopers, Chicago, IL

8:30 am – 9:20 am

Ransomware and the New Normal of Online Communications

1 Computer Science

Speaker: Eric Shiffman, Supervisory Agent, FBI, Chicago, IL

Suspicion surrounding the use of online systems has become the new normal. Information is readily available at your fingertips but the high price of that availability is that data is more vulnerable than ever. Learn how to mitigate risks when it comes to the electronic distribution of information.

Key Takeaways:

- Learn best practices for online information sharing to safeguard your organization's data
 - Leverage existing alliances to improve risk management practices
-

9:20 am – 10:10 am

Balancing Confidentiality and Integrity Risk

1 Behavioral Ethics

Speakers: George A. Heyman, CPA, CGMA, MAS, MBA, Instructor, AuditSense, Tampa, FL

Tirrell J. Paxton, JD, CPA, CFE, Deputy Inspector General, Office of the Independent Inspector General of Cook County, Chicago, IL

Forensic accountants face a multitude of ethical issues that can cause irreparable harm to their reputation if not addressed appropriately. However, what constitutes an appropriate response can be unclear. This interactive session will explore considerations such as confidentiality and professional conduct which forensic accountants face when evaluating ethical dilemmas.

Key Takeaways:

- Understand the underlying principles that can lead to better ethical decisions
 - Define the parameters of professional conduct
-

10:10 am – 10:25 am NETWORKING BREAK

10:25 am – 11:40 am

Asset Tracing for Asset Forfeiture

1.5 Auditing

Speaker: John J. Bartuch, MBA, CFE, Investigator – Organized Crime Division, Asset Forfeiture Unit – Money Laundering, Chicago Police Department, Chicago, IL

Get a blueprint on how to investigate assets including key indicators to look for and how to seize/forfeit those assets. Pick up tracing techniques used by law enforcement and learn how they can be applied in forensic accounting processes.

Key Takeaways:

- Learn how to build confidence in investigative techniques
- Get a first-hand look at law enforcement asset tracing/forfeiture cases

11:40 am – 12:30 pm **NETWORKING LUNCH**

12:30 pm – 1:45 pm

From Retention to Trial: Tips and Traps for the Expert Witness

1.5 Specialized Knowledge & Applications

Speakers: William F. Dolan, JD, Partner, Jones Day, Chicago, IL

Tina B. Solis, JD, Partner, Nixon Peabody LLP, Chicago, IL

Scott A. Stringer, CPA/ABV/CFF, CGMA, CFE, Director, Baker Tilly Virchow Krause, LLP, Chicago, IL

Learn the phases of a lawsuit – from your retention to trial. Explore the real world complexities of the judicial process that confront both novice and weathered experts alike. The panel will also advise on tips and traps that can impact the admissibility and credibility of an expert's opinion.

Key Takeaways:

- Discuss important court rules and legal standards that govern whether an expert's testimony will even be considered by a court
- Observe a mock direct and cross examination to illustrate key legal and practical points

1:50 pm – 2:40 pm

Illinois Retirement Benefit Plans: Promises, Problems, and Possibilities

1 Auditing (Government/Yellow Book)

Speaker: Barrett Peterson, CPA, Senior Manager, Accounting Standards, Procedures, & Analysis, TTX, Chicago, IL

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Understand the financial circumstances facing Illinois' employee retirement plans. Identify financially viable options to provide retirement benefits to Illinois employees.

Key Takeaways:

- Understand the critical economic drivers and core mechanisms of Illinois' employee retirement benefit programs
- Learn governmental financial reporting requirements [GASB] and limitations regarding defined benefit employee retirement benefit plans

2:40 pm – 2:50 pm NETWORKING BREAK

2:50 pm – 4:30 pm

Emerging Issues in Anti-Money Laundering

2 Finance

Moderator: Kenneth Mathieu, CPA/CFF/ABV, Director, Forensic Services, PricewaterhouseCoopers, Chicago, IL

Speakers: David M. Landrum, Jr., CFE, Financial Crimes Consultant, Ronin Resources, Eufaula, OK

Robin R. Rager, CFE, Financial Crimes Consultant, Commonwealth Compliance & Litigation Services, Eufaula, OK

Laura Colombell Marshall, JD, Partner, Hunton & Williams LLP, Richmond, VA

Address the AML (Anti-Money Laundering) laws and regulations that target illicit activities and the adverse effects of money laundering. Better understand the concerns within the financial system as you learn how to help detect and report suspicious activity. Discuss the current trends in money laundering, emerging issues such as the "Panama Papers," the use of Bitcoin and other AML issues.

Key Takeaways:

- Recognize suspicious activity and the difference between reportable activity and prosecutable criminal violations
 - Recognize money laundering "red flags"
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NEW – FREE Webinar!

Extend your learning at your convenience! Attend the Symposium and receive one additional CPE credit by participating in a post-event webinar on asset tracing. This online learning event is free of cost for **ALL** Fraud & Forensic Symposium attendees. Continue the discussion of asset tracing by listening to Symposium speaker John J. Bartuch, Investigator – Organized Crime Division, Asset Forfeiture Unit – Money Laundering, Chicago, Police Department.

Program Agenda

Special Thanks to Our Task Force:

Symposium Chair: Frank Dery, CPA, CFE, CFF, PricewaterhouseCoopers, Chicago, IL
Kenneth Mathieu, CPA/CFF/ABV, PricewaterhouseCoopers, Chicago, IL
Christopher McClure, CPA, CFE, CFF, Crowe Horwath, Chicago, IL
Michal Ploskonka, CPA, BKD, LLP, Oakbrook Terrace, IL
Scott A. Stringer, CPA/ABV/CFF, CGMA, CFE, Baker Tilly Virchow Krause, LLP, Chicago, IL
Mark Hutton, CPA, CFF, CFE, CGMA, Hutton, Kruse & Fink Forensic Accounting, Buffalo Grove, IL
Amy Egelston, CPA, CFE, RPLU, Arlington Heights, IL

Upcoming Conferences

September 20 Des Plaines	Midwest Financial Reporting Symposium
September 27 Oak Brook	Financial Institutions
October 20 Chicago	Fraud & Forensic Symposium
October 25 Oak Brook	Construction
October 26 Chicago	Manufacturing
November 3 Des Plaines	Healthcare Compliance and Fraud Half-day
November 10 Des Plaines	IRS/Tax Practitioners Symposium
November 17 Rosemont	Not-for-Profit
November 18 Chicago	Women's Leadership Forum
November 30 Rosemont December 1 Springfield	Accounting & Auditing
December 2 Springfield	Not-for-Profit
December 7 Chicago	Advanced Topics in Flow-Through Taxation Half-day
December 13 Rosemont	Employee Benefits
January 26, 2017 Chicago	State and Local Tax
March 23, 2017 Rosemont	Controllers



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